

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
(PW FORM PAT-1449)
Patent and Trademark Office

Atty.
Dkt. No.

M#

Client Ref.

10/521813

0314558

FP-03013PC(US)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: FUJIKI et al.

Appln. No.: NEW 10/521813

Filing Date: January 21, 2005

Date: January 21, 2005

Page 1 of 1

Examiner: Unknown

Group Art Unit: Unknown

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
mc	AR 3,873,312	03/1975	Contois et al.			
	BR					

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
mc	CR	98/29476	07/1998	PCT	Nishida et al.	x			
	DR	61-132954	06/1986	Japan	Sugimoto et al.	x			
	ER	7-113779	05/1995	Japan	Imai	x		x	
	FR	4-178652	06/1992	Japan	Kawamorita et al.	x		x	
	GR	8-295537	11/1996	Japan	Hiraoka et al.	x		x	
	HR	3-111854	05/1991	Japan	Aoike et al.	x			
	IR	10-69110	03/1998	Japan	Miyazaki et al.	x		x	
	JR	8-62874	03/1996	Japan	Kurosu et al.	x		x	
	KR	6-271786	09/1994	Japan	Matsui et al.	x			
	LR	4-371962	12/1992	Japan	Suzuki	x			
	MR	5-279591	10/1993	Japan	Daimon et al.	x			
	NR	5-263007	10/1993	Japan	Daimon et al.	x			
	OR	4-189873	07/1992	Japan	Nukada et al.	x			
	PR	60-145550	08/1985	Japan	Inasawa	x			
	QR	60-148917	08/1985	Japan	Fukuda et al.	x			
	RR	61-23154	01/1986	Japan	Hagiwara et al.	x			
	SR	11-288110	10/1999	Japan	Kobayashi et al.	x			
	TR	7-173112	07/1995	Japan	Hagiwara et al.	x			
	UR	55-42380	03/1980	Japan	Sawai et al.	x			
	VR	4-334551	11/1992	Japan	Sato et al.	x			
	WR	5-297617	11/1993	Japan	Hayashida et al.	x			
	XR	50-43813	04/1975	Japan	Ito	x			
	YR	50-98181	08/1975	Japan	Matsuoka et al.	x			
	ZR	50-2278	10/1975	Japan	Murakami et al.	x			
mc	AAR	50-2279	01/1975	Japan	Edowaado et al.	x			

OTHER (including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.):

BBR European Search Report dated November 25, 2003 for International Application
No. PCT/JP03/09163.

CCR

Examiner

Date Considered: 5/07

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



PTO/SB/08b (08-03)

Approved for use through 06/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known			
		Application Number	10/521,813		
		Filing Date	01/21/2005		
		First Named Inventor	TSUYOSHI FUJIKI		
		Art Unit	2852		
		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	041230-0314558

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T ²
	See Attachment 1		
nc.	II	N. LALITHA ET AL., "Sequential Addition of 2-Potassio-2-nitropropane and Oxygen to 4-Arylidene-oxazol-5-ones: A New Method for 2-Aryl Buenoic Acid Imides", Journal of the Chemical Society, pp. 897-899, (1991).	
nc.	III	KAZUAKI FURUKAWA ET AL., "Optical Properties of Silicon Network Polymers", Macromolecules, American Chemical Society, pp. 3423-3426, (1990).	
nc.	IV	KENKICHI SAKAMOTO ET AL., "Highly Ordered High Molecular Weight Alternating Polysilylene Copolymer Prepared by Anionic Polymerization of Masked Disilene", Macromolecules, American Chemical Society, pp. 4494-4496, (1990).	

Examiner Signature		Date Considered	5/07
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



10/521813

Information Disclosure Statement by Applicant (PTO/SB/08B);
Additional Other Prior Art - Non Patent Literature Documents

Attachment 1

~~a. 1~~

mc

- b. KOICHI MIKAMI ET AL., "Anomalous threo-Diastereoselectivity in Allylic Silane- or Stannane-Aldehyde Condensation Reactions: New Interpretation of the Antiperiplanar vs. Synclinal Problem on the Transition-state Conformations", Chemical Communications, Royal Society of Chemistry, No. 17, pp. 1161-1163, (Sept. 1990).

c.

m. ch

5/07



Atty.
Dkt. No.

M#

Client Ref.

41230 0314558

FP-03013PC(US)

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: FUJIKI et al.

Appln. No.: 10/521,813

Filing Date: January 21, 2005

Date: April 9, 2007

Page 1 of 1

Examiner: Unknown

Group Art Unit: Unknown

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
<i>mc</i>	AR 5,858,541	01/12/1999	HIRAOKA			
	BR					

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
	CR							
	DR							
	ER							
	FR							
	GR							
	HR							
	IR							
	JR							
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	OR							
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	QR							
	RR							
	SR							
	TR							
	UR							
	VR							

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

<i>m.o.</i>	WR	Japanese Office Action (with translation) issued in related application, 4 pages, January 19, 2007.				
	XR					

Examiner

Date Considered: 5/07

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.